

# 东方日升可持续原材料政策

## Sustainable Raw Material Policy

### ■ 我们的声明

### ■ Our Commitment

将环境和社会因素纳入采购评估流程，最小化原材料采购活动带来的负面影响。

We are committed to minimizing the negative sustainability impacts of raw materials by integrating environmental and social factors into the procurement assessment process.

我们遵循《OECD 经济合作与发展组织关于来自受冲突影响和高风险区域的矿石的负责任供应链尽职调查指南》，逐步将矿产供应链尽职管理纳入供应商管理工作中并要求核心供应商签署《不使用冲突矿产保证书》。

We adhere to the "OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas". We are progressively integrating due diligence management of the mineral supply chain into our supplier management practices and requiring our core suppliers to sign "Agreement of No Use of Conflict Minerals" .

避免使用来自全球或国家重要生物多样性地点的原材料，以保护生态环境。

We avoid raw materials from sites containing globally or nationally important biodiversity sites to protect ecological environment.

增加使用经第三方验证的原材料，确保其来源合法、环保可持续。

We are committed to increasing the use of third-party verified raw materials to ensure the validity and sustainability of raw materials.

增加使用可回收的原材料，降低废弃物、污染物的产生。

We are committed to increasing the use of recycled materials and reducing wastes and pollutants.

与内外部利益相关者合作，共同探讨可持续原材料的最佳实践，并确保其在采购和使用过程中符合环保标准。

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We will collaborate with external stakeholders on best practice for sustainable raw materials and ensure that the procurement and use of raw materials are in accordance with environmental standards.

### ■ 我们的目标

#### ■ Our Targets

到 2030 年，电池产品原材料中回收锂金属的使用占比不低于 6%；

By 2030, a minimum of 6% recycled lithium metal will be used in our battery materials;

到 2035 年，电池产品原材料中回收锂金属的使用占比不低于 12%；

By 2035, a minimum of 12% recycled lithium metal will be used in our battery material;

100%不采购冲突矿产。

100% non-procurement and non-use of conflict minerals.

### ■ 绿色采购方针

#### ■ Our Green Procurement Strategy

我们始终秉持绿色环保的理念，从源头推动供应链的可持续发展。评估原材料使用优先顺序，优先选择对环境和社会影响较小的原材料和在生产过程中注重环境保护、采用清洁能源、减少废弃物排放的原材料。

We always uphold the concept of green environmental protection and promote the sustainable development of the supply chain from the origin. We assess the priority of raw material use, giving preference to raw materials that have less impact on the environment and society, and to those that prioritize environmental protection, use clean energy, and reduce waste emissions in the production process.

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### ■ 风险管理与合规

#### ■ Our Raw Material Risk Management

为降低供应链中的相关风险，我们实施了一套严谨的 ESG 审核计划（包括但不限于满足《德国供应链尽职调查法》《欧盟电池法规》《企业社会责任尽职调查指令》和《工商业与人权指导原则》的环境与人权审核因素等），针对供应商的行业、企业运营中潜在的社会和环境风险，业务规模以及风险等级进行核心供应商筛选，并根据风险评估结果，采取措施，最大限度减少原材料采购对环境和社会的负面影响，包括减少污染排放、保护劳工权益等。

To lower supply chain-related risks, we adopt a comprehensive ESG audit system, which aligns with regulations such as the “German Supply Chain Due Diligence Act”, the EU “Regulation Concerning Batteries and Waste Batteries”, the “Corporate Sustainability Due Diligence Directive”, and the “Guiding Principles on Business and Human Rights”. Based on the industry specifics, potential social and environmental risks, business scale and risk level of suppliers, we screen our core suppliers and take measures to minimize the negative impact of raw material procurement on the environment and society, including pollution emissions reduction, labor rights protection, etc.

### ■ 我们的行动

#### ■ Our Actions

**建立健全管理体系：**制定《供应商行为准则》《集团供应商日常管理规定》《集团供应商考核及评分》《海外供应商管理制度》等相关制度，对供应商准入审核、考评、淘汰等环节进行规范；采用实时监控、数据分析和质量保障于一体的供应商管理系统，客观地评价供应商，为后续的合作提供决策依据。

**Establishment of a Comprehensive Management System:** We have formulated the “Supplier Code of Conduct”, “Group Supplier Management Regulations”, “Group Supplier Evaluation and Scoring Policy”, and “Overseas Supplier Management Policy” to regulate supplier qualifications, performance assessments, audits and termination. Adopting a supplier management system integrating real-time monitoring, data analysis and quality control, we can evaluate suppliers objectively and inform our decision-making for cooperation.

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**供应链追踪机制：**我们致力于降低供应链中的风险。并为此建立了一套具备可操作性的完整供应链追踪机制，追溯原材料的源头，确保相关矿产的开采符合当地法律法规要求；确保原材料加工生产符合环保标准。

**Supply Chain Tracking Mechanism：** We are dedicated to mitigating conflict mineral risks throughout our supply chain. We have established a robust and comprehensive tracking mechanism that traces raw materials back to their sources. This ensures strict compliance with environmental standards and the laws and regulations of the countries where we operate.

**创新与持续改进：**鼓励供应链上下游企业共同创新，追求可持续原材料的最佳实践，采取有效措施减少原材料生产对环境和社会的负面影响，共同推动供应链管理的持续改进和发展。

**Innovation and Continuous Improvement：** We encourage our upstream and downstream partners to collaborate on innovation, pursue the best practice of sustainable raw materials, take effective measures to minimize the negative social and environmental impact of raw material production, and jointly promote the continuous improvement in responsible supply chain management.

**关注社会责任履行：**关注供应商的社会责任表现，包括环境保护、员工权益保护、社区关系处理等方面，鼓励供应商采用先进的节能技术和设备，提高能源利用效率；优先选择那些在社会责任方面表现优秀的供应商，共同推动供应链的可持续发展。

**Social Responsibility Performance：** We prioritize suppliers' social responsibility performance, including environmental protection, labor rights, and community relations management, etc. We encourage our suppliers to adopt energy-saving technologies and equipment to improve energy efficiency. We give preference to those suppliers with excellent performance in social responsibility, and jointly promoting the sustainable development of the supply chain.

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**供应链信息披露：**我们重视供应链信息的透明度和公开性，定期报告可持续原材料采购目标的进展，公开披露供应链运营情况、管理绩效和改进措施，接受公众和利益相关方的监督。

**Supply chain information disclosure:** We prioritize the transparency and openness of supply chain information, regularly report on the progress of our sustainable raw material procurement goals, and disclose our supply chain management, performance, and improvement measures. We welcome the public and stakeholders' oversight and engagement.

**意识提升：**我们重视内部员工特别是采购人员在可持续采购方面的培训和教育工作。通过培训和实践相结合的方式，我们将使员工更好地理解 and 执行企业的供应链管理策略，共同推动上下游的绿色发展。

**Awareness Promotion:** We prioritize the training and education of our employees in sustainable procurement. Through comprehensive training and hands-on practice, we aim to enhance our employees' understanding and implementation of our supply chain management strategy. By doing so, we collaborate to foster the sustainable development of supply chain.

签名 (President Signature) : \_\_\_\_\_

日期 (Date) : July 2024